

INTEGRATING SOCIAL VULNERABILITY AND FLOOD SAFETY MODELING

HURRICANE IKE AND GALVESTON, TEXAS: A CASE STUDY



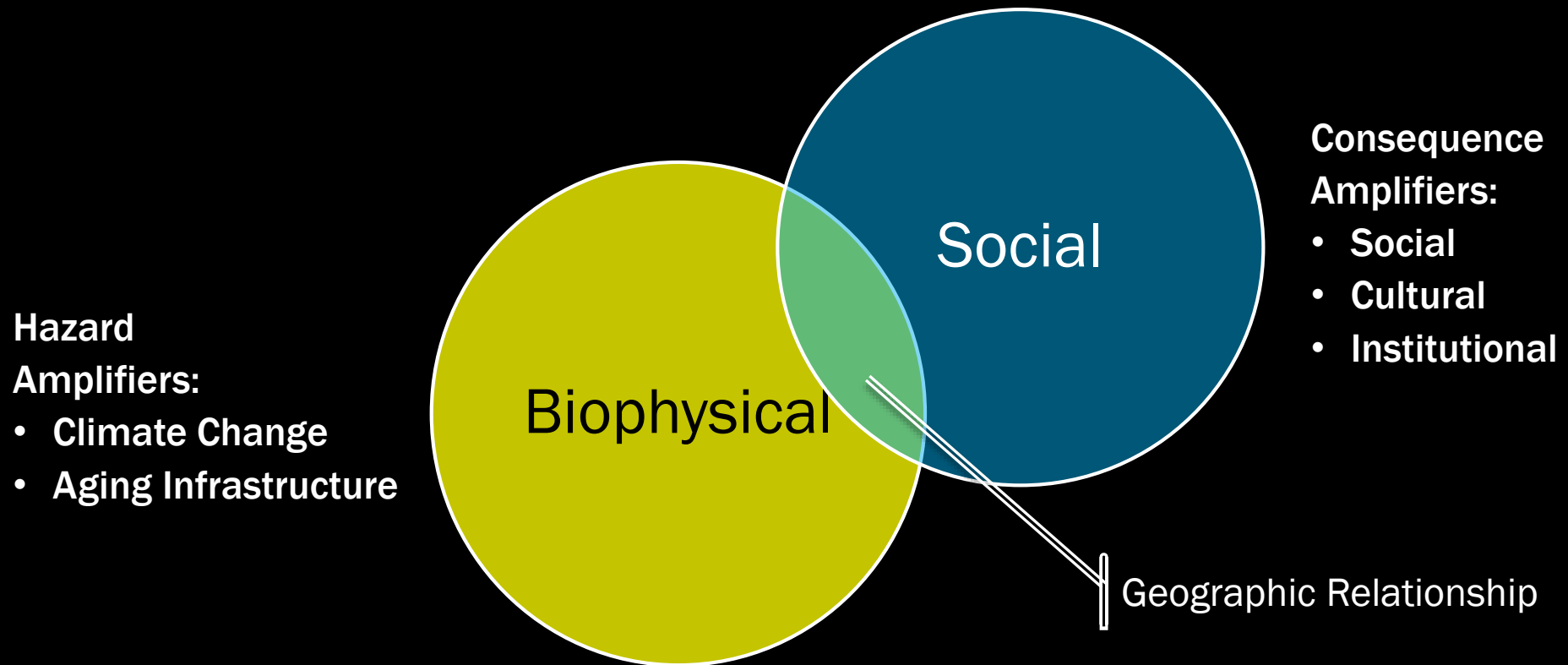
Image Source: NOAA, 2008

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Overview

- Background
- Disaster Modeling Framework
- Hurricane Ike and the Galveston experience
- Integrating Social Vulnerability
- Findings and Next Steps

Risk Factor Nexus: A Recipe for Disaster



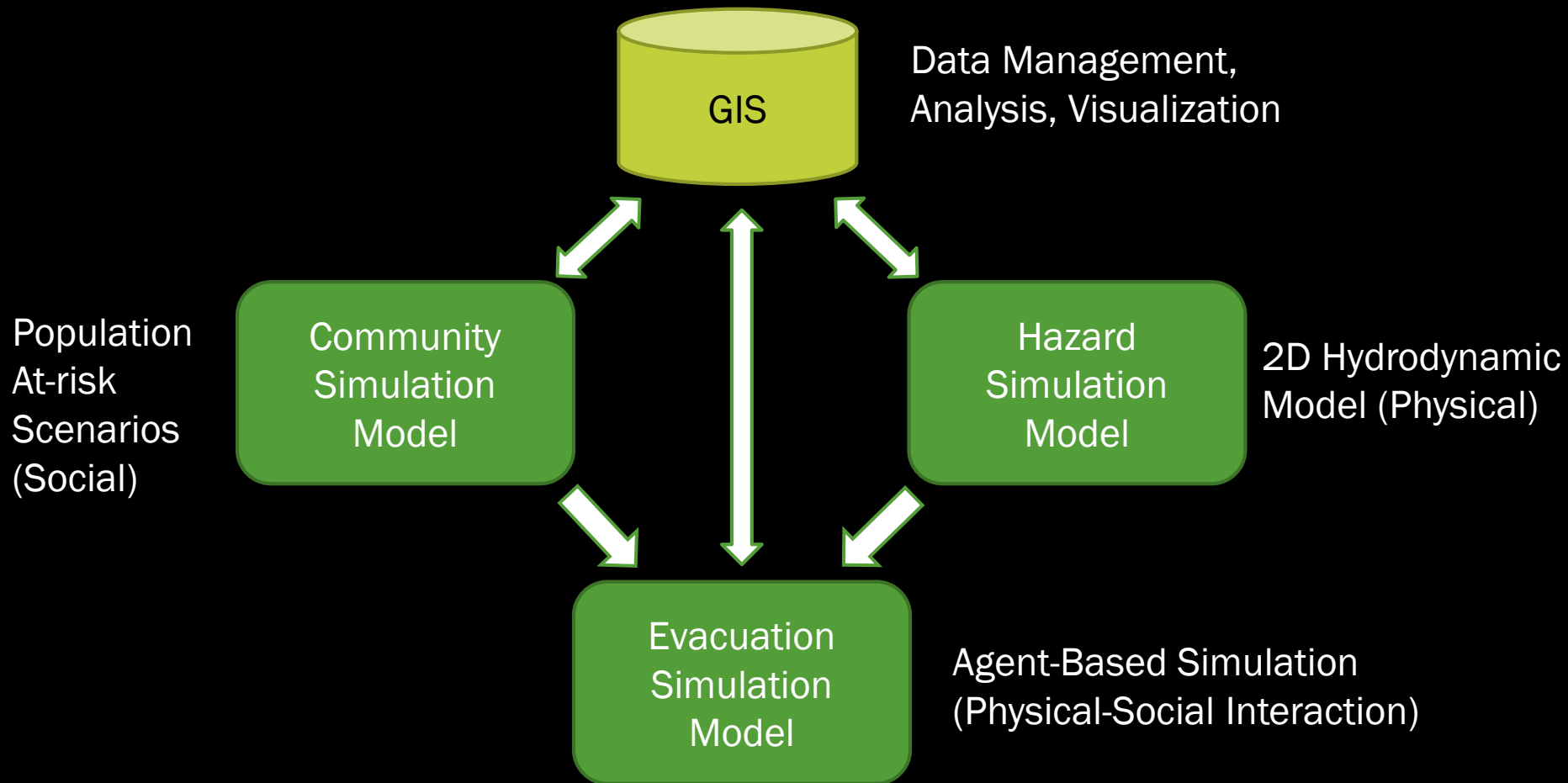
Social Vulnerability:

“susceptibility of social groups to the impacts of hazards, as well as their resiliency, or ability to adequately recover from them” – Cutter & Emrich, 2006.



*National Guard trucks haul residents through floodwaters to the Superdome after Hurricane Katrina hit in New Orleans, Tuesday, August 30, 2005.
(Image Source: The Washington Times, 2014)*

Disaster Modeling Framework¹



¹Adapted from Assaf, 2011.

Definition: Agent-based Model

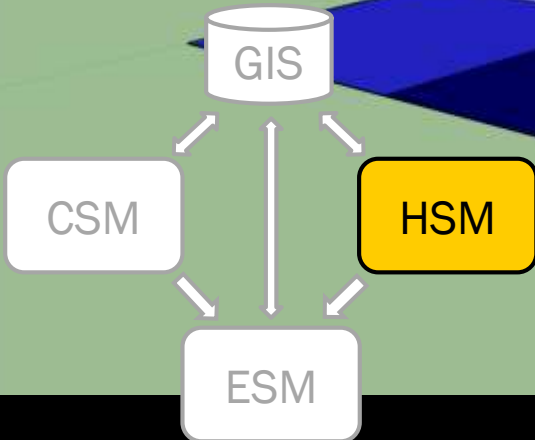
- Use of autonomous agents (people, vehicles, animals) to simulate complex adaptive systems
- Identify macro phenomena emerging from micro level behavior

Source: Janssen, 2005.

Objects within the model framework

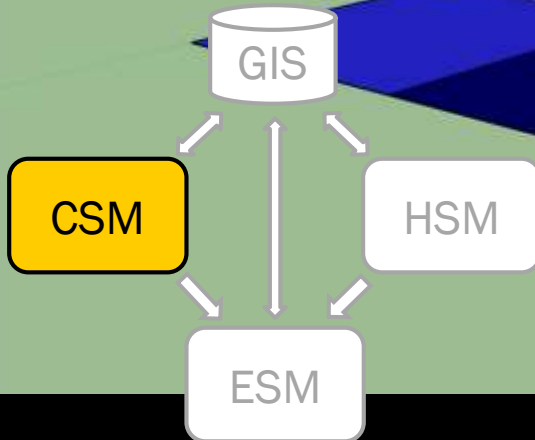
Flood hazard represented as raster grid (depth and velocity)

Temporally dynamic, evaluated on a time step basis



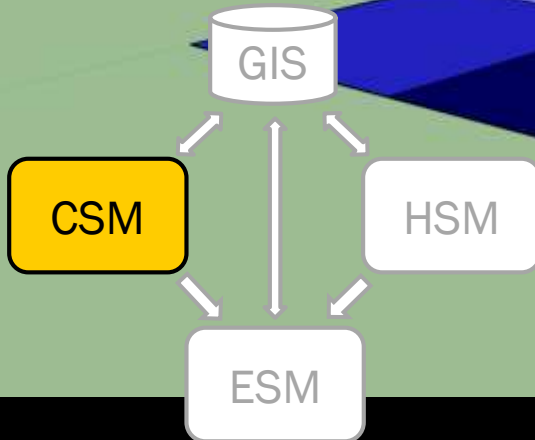
Objects within the model framework

Buildings, represented as points can be used as shelter, but can collapse if damaged



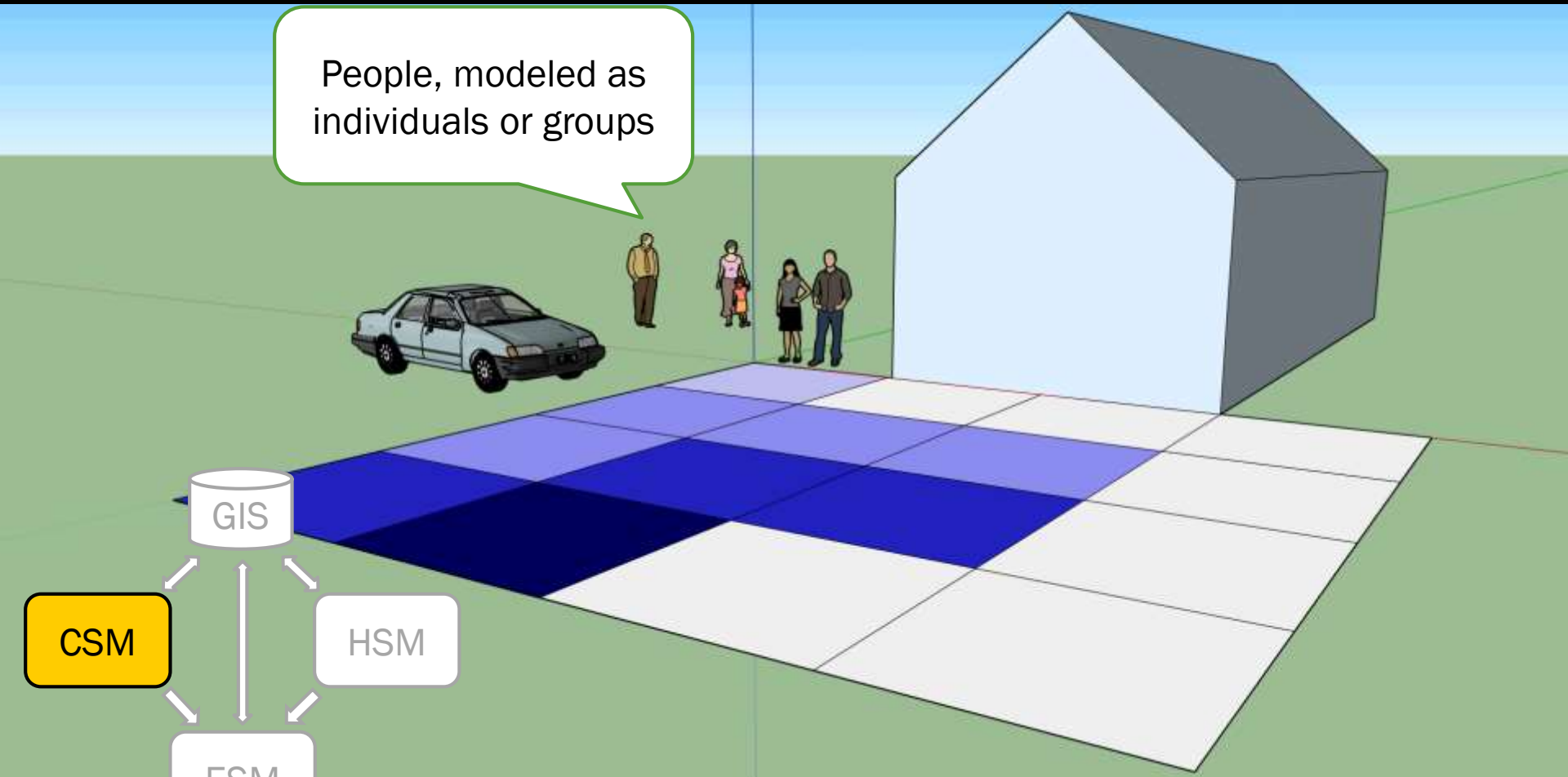
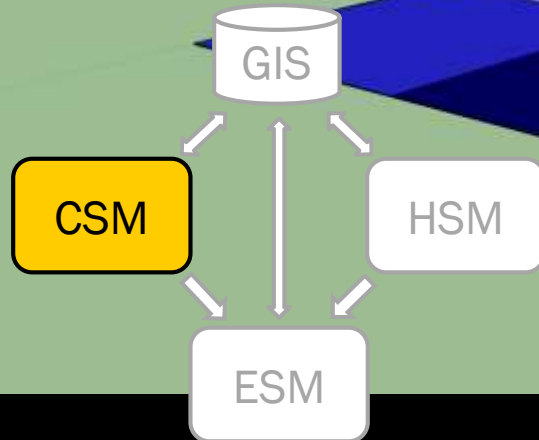
Objects within the model framework

Transportation network (nodes and edges) allows population to escape the hazard on foot or using vehicle



Objects within the model framework

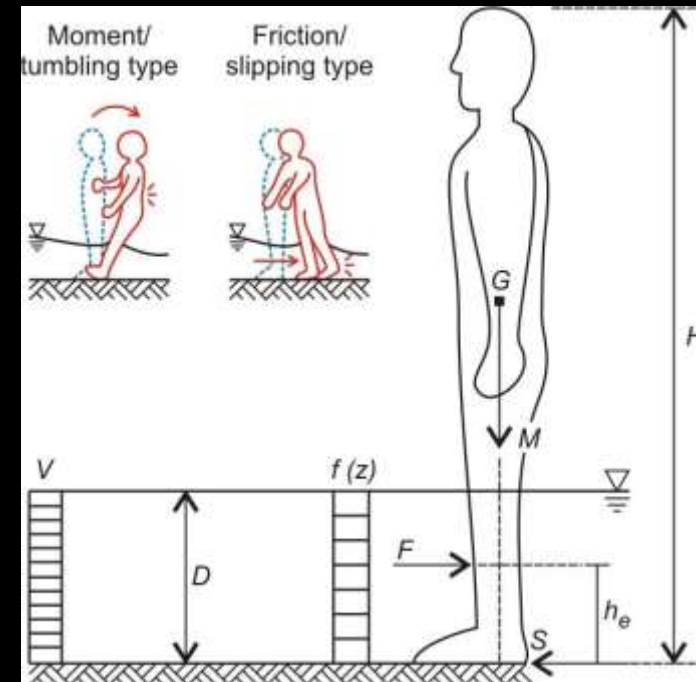
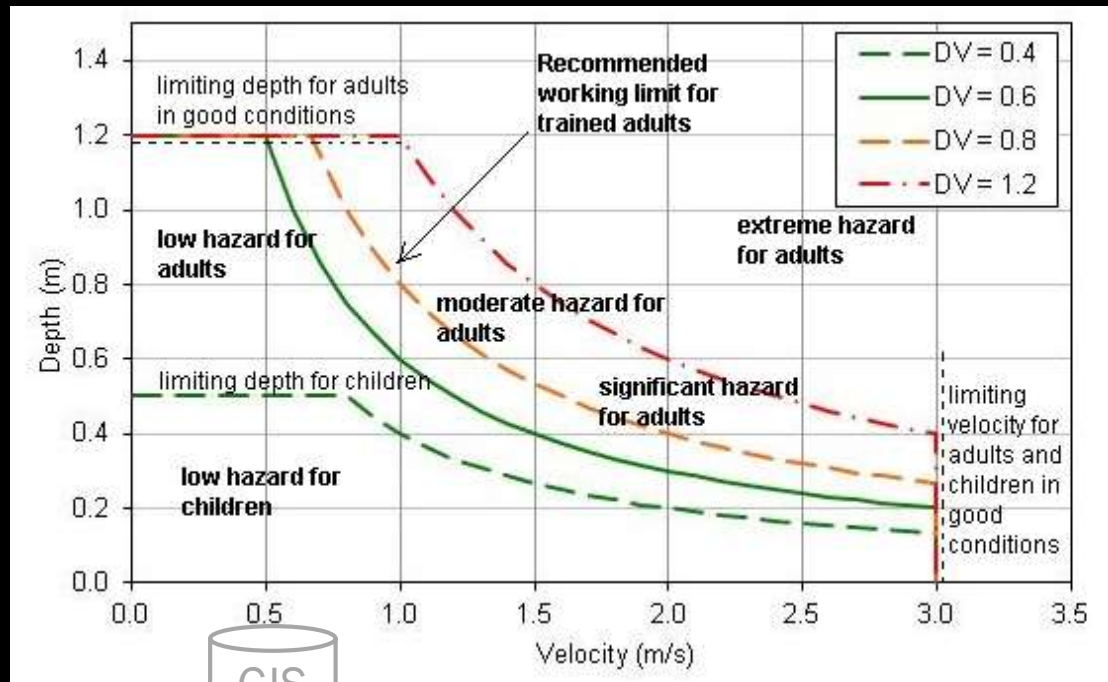
People, modeled as individuals or groups



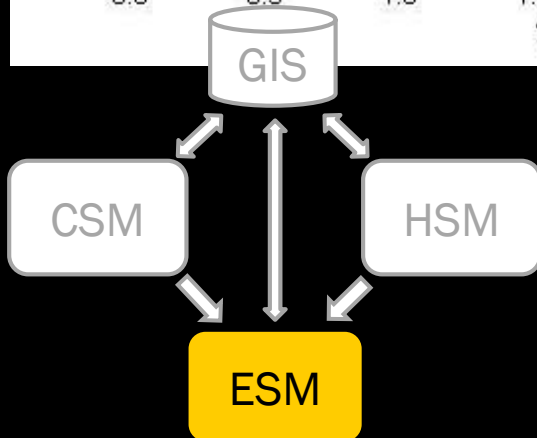
Objects within the model framework



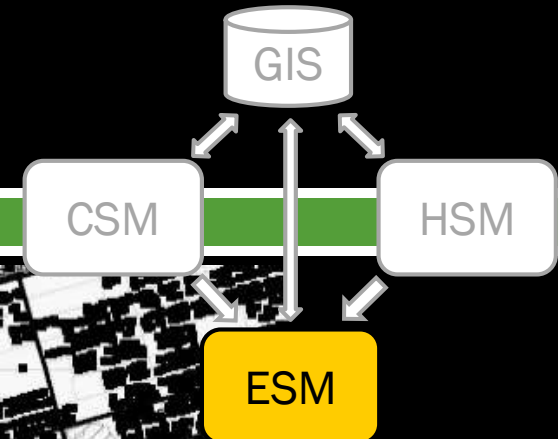
Fate of objects in the evacuation simulation are determined at each time step



Safety Criteria for People in Variable Flow Conditions and Typical Modes of Instability in Floods (Source: Cox et al, 2010)



Visualizing the model results



(Source: Map tiles by Stamen Design, 2017)

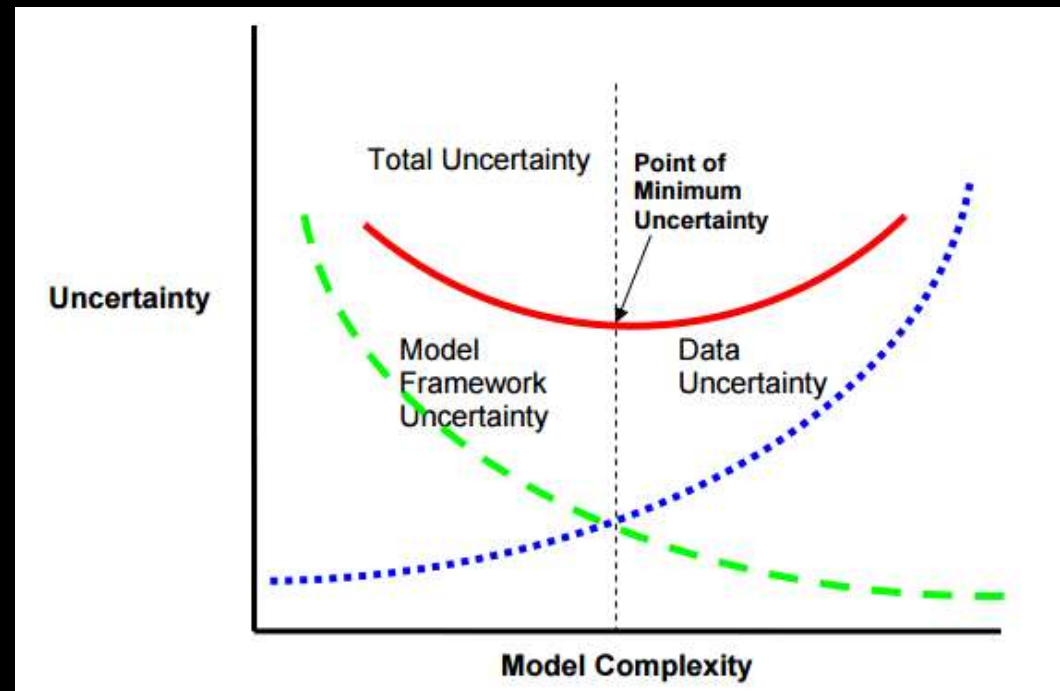
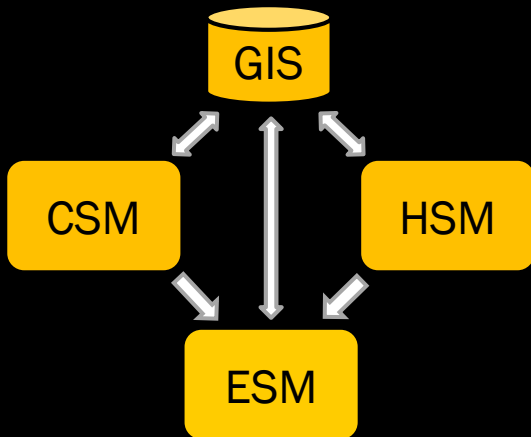
Modeling the system as a whole allows us to ask new questions about a place

- Evacuation Planning
 - Is the evacuation plan effective?
 - How significant is traffic congestion?
 - Is risk affected by time of day or year?
 - Are some groups at greater risk of harm?
- Scenario-based
 - Test efficacy of mitigation actions
 - Training (“what if...?”)
 - Communication with managers and public

However, there is a catch...

“inherent complexity and uncertainty in such modeling means that being within $\pm 100\%$ of the actual result can be considered a ‘good’ outcome.”

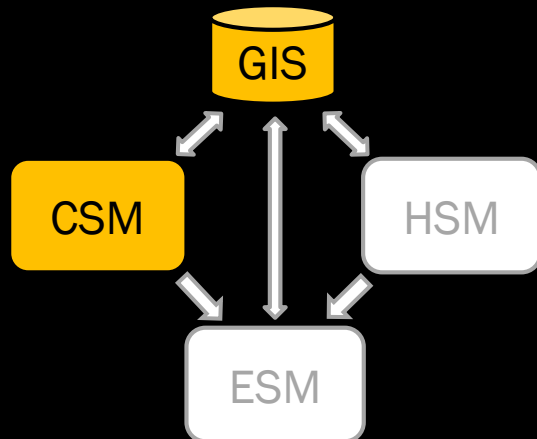
(Lumbroso and Davison, 2016)



Relationship between model uncertainty and complexity (Image Source: EPA, 2009).

Research Question: Can the Disaster Simulation Model be refined using Social Vulnerability Data?

- In the U.S., history tells us that roughly a third of the population will not evacuate prior to a hurricane (Weller et al., 2016)
 - Who stays behind?
 - Why do they stay behind?
 - More importantly, where are they located?

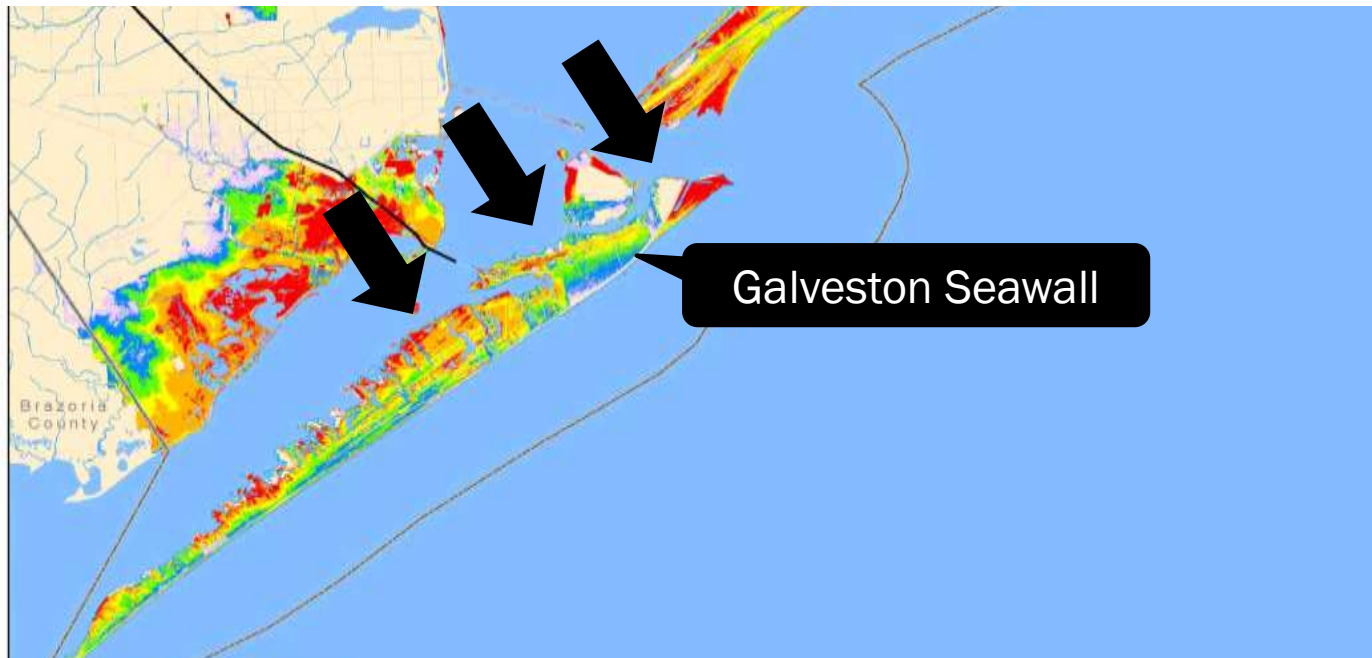


Why Galveston?

- History of flooding
- Physically constrained
- Primary data required is publicly availability

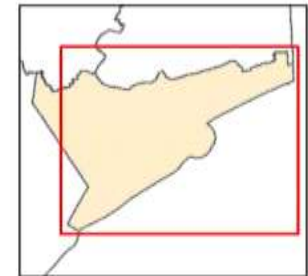
What happened in Galveston during Hurricane Ike in 2008?

- Category 2 wind speeds
- **Category 4 storm surge**
- **Large wind field – storm surge arrived early**
- Storm surge came from the backside of the Island, outflanking the Galveston Seawall.



Source: Harris County Flood Control District (2009)

Hurricane Ike Storm Surge Galveston County



DATA SOURCES:
Inundation Layers (TX) - HCFCFD
Inundation Layers (LA) - NWS

NOTES:
Inundation extent and depth estimates are based on elevations derived from November 2001 LIDAR, 2006 NOAA LIDAR and Vintage 2004 LIDAR data. The data used in the analysis of the storm surge were provided by FEMA, LSU Sea Grant, Harris County Flood Control District, Galveston County, USGS, NOAA and Calcasieu Parish.

20FES2009



Comparing the Hurricane of 1900 with Hurricane Ike (2008)

	Hurricane of 1900 (Roth, 2010; and USACE, 1981)	Hurricane Ike (City of Galveston, 2011)
Casualties	6,000	16
Damages	\$25 million (unadjusted)	\$200 million+
Population on Galveston Island	30,000	57,000
% Evacuated (Approx.)	66%	60-75%
Fatality Rate of Residual Population at Risk	60%	0.14%

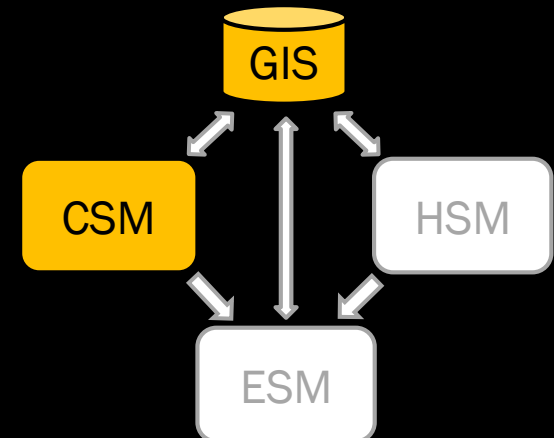
*Galveston, Texas following Hurricane of 1900
(Image source: Roth, 2010)*



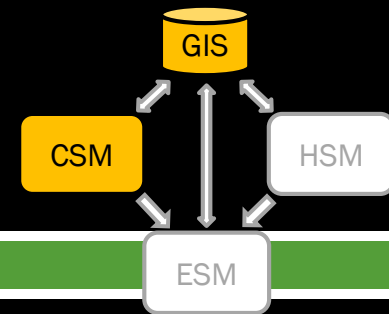
*Galveston, Texas following Hurricane Ike
(Image source: USAF, 2008)*

Preparing the Community Simulation Model: who stayed behind, and where were they?

- Data Uncertainties:
 - Census data is aggregated at block group level, for privacy reasons a one-to-one match with actual population isn't possible
 - Data from post-disaster surveys is largely qualitative, difficult to integrate into a GIS framework
 - Estimates of evacuation compliance vary



Data Uncertainty: Spatial Distribution of Synthetic Population

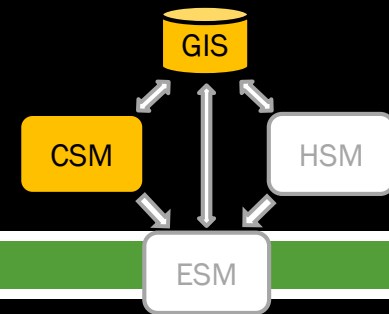


- ICLUS 90-meter grid resolution is too coarse to map population to individual parcels



(Sources: RTI, 2014 and Esri World Imagery service layer, 2017)

Data Uncertainty: Spatial Distribution of Synthetic Population

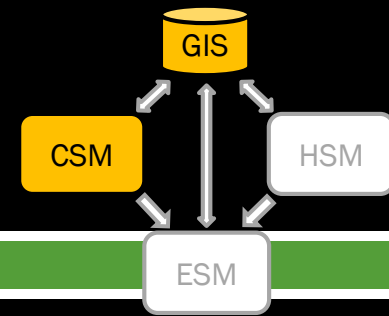


- Result: households moved to residential parcel centroids



(Sources: Galveston County Central Appraisal District, 2016 and Esri World Imagery service layer, 2017)

Data Uncertainty: Who Stayed Behind?

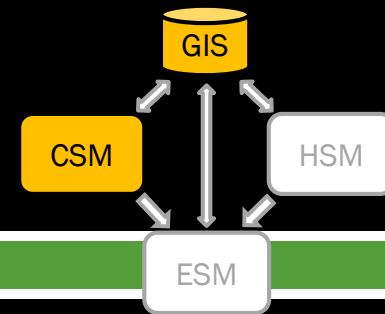


- Actual spatial distribution of unevacuated PAR cannot truly be known, but Monte Carlo simulation can be used to create an envelope of outcomes
 - Null hypothesis: select unevacuated population at random
 - Alternative hypothesis: stratified sampling based on presence of children in the household will reduce sampling error and improve model predictions
 - Based on sensitivity analysis, assume that 40% of households remain behind (approx. 23,000 people).

Definition: Stratified Sampling

- Random sampling may not be representative of the actual population.
- Stratified sampling methods separate the data into classes and selecting random samples from each.
- Requires understanding of variables that might be relevant and their influence on the sample distribution.

Stratified Sampling: Who Stayed Behind?



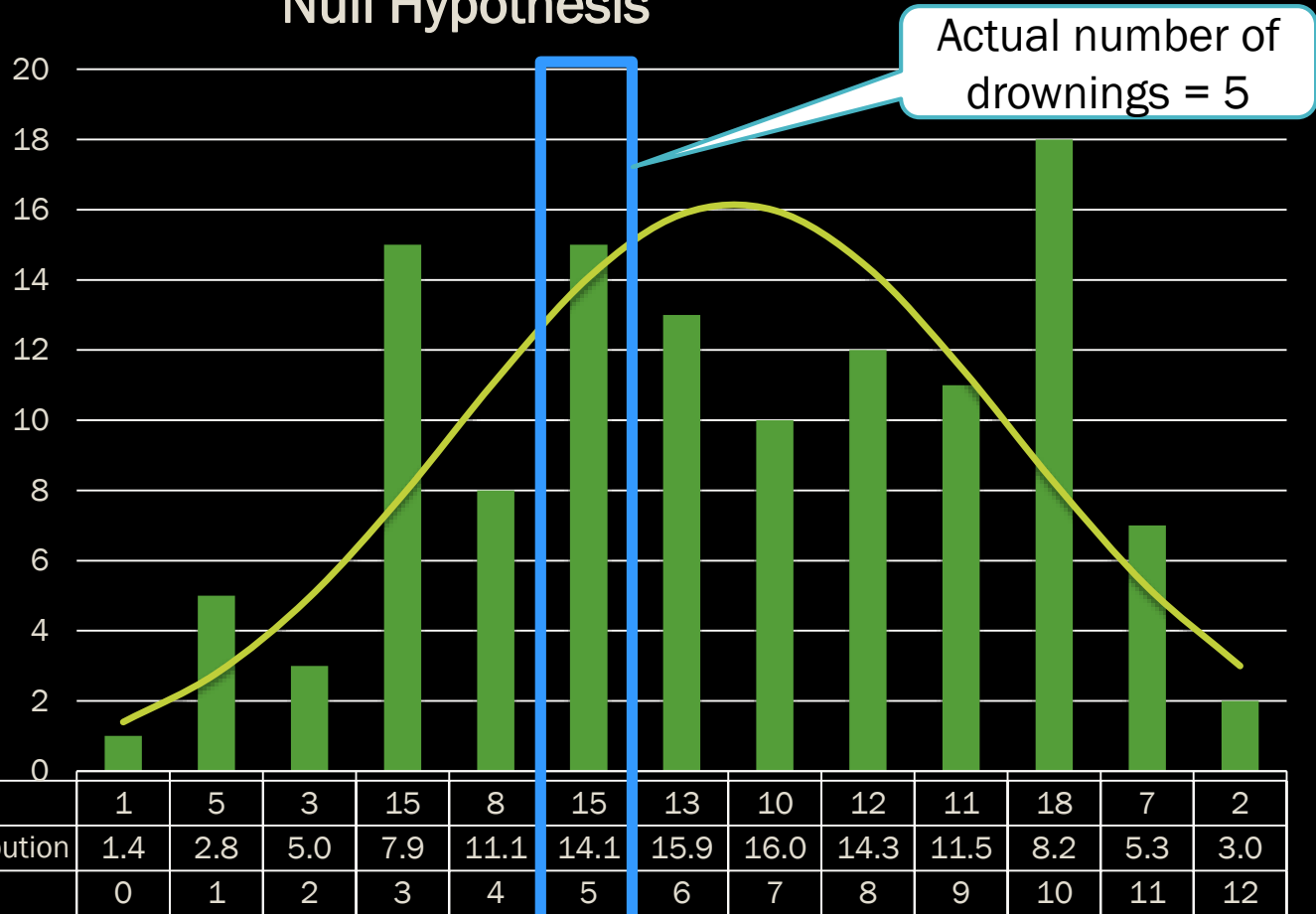
Sample Description (Weller et al, 2016)

Variable	Evacuated (n = 16)	Stayed (n = 16)
Educational Level (years)	15.3	14.5
Age	44.7 (33-63)	48.3 (21 -64)
Length Residency (years)	28.6 (5.5 -61)	26.1 (4-64)
Own home (%)	80	75
Windstorm insurance (%)	77	71
Flood insurance (%)	57	60
Ethnicity (%)		
White	31.3	62.5
Hispanic	43.8	18.8
African-American	18.8	12.5
Nat. American/Pac Islander	6.3	6.3
Gender (female) (%)	38.8	43.8
Have Children	56.3	18.8
Storm damage	8% no damage	33% no damage
	38% minimal damage	8% minimal damage
	54% major damage	58% major damage

“...importance of family safety and the evacuation of vulnerable family members (children, elderly, handicapped, and infirm) to a safer place.” (Weller et al., 2016)

Simulation Results

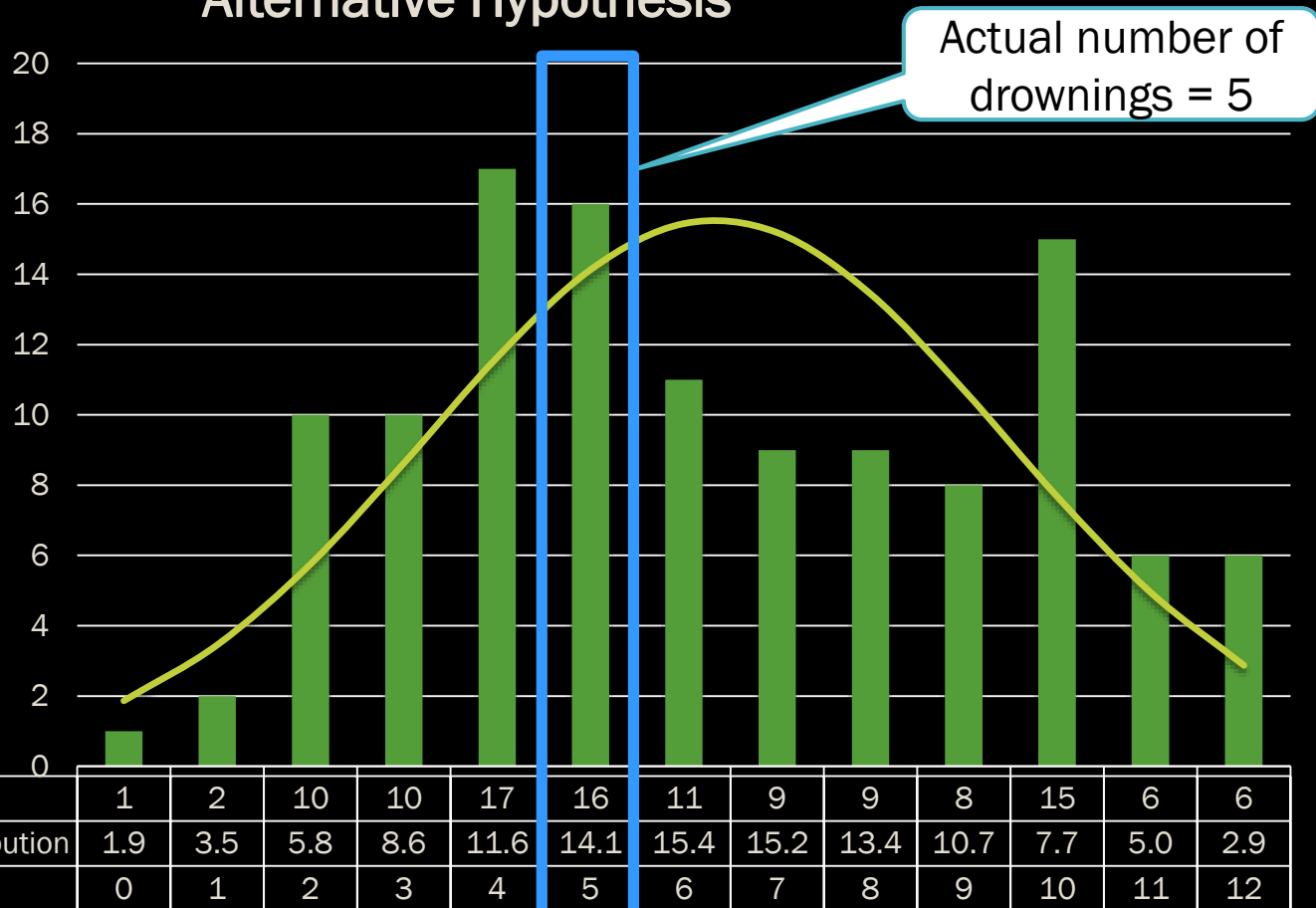
Null Hypothesis



Bins (Number of Fatalities Computed by LSM)

Simulation Results

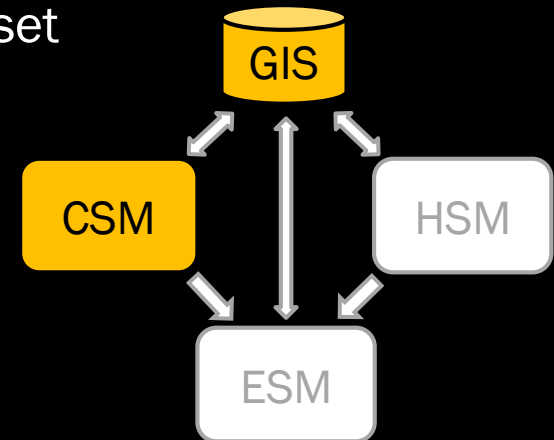
Alternative Hypothesis



Bins (Number of Fatalities Computed by LSM)

Conclusion and Next Steps

- Social vulnerability indicators *can* be integrated within the CSM framework
- Additional simulations required before drawing conclusions
- Improving the CSM in the future
 - Harmonize census data with disaster timeline
 - Add SV attributes to Synthetic Population dataset
 - Integrate institutional populations
 - Purpose-specific post-disaster surveys
 - Refine cadastral / building inventories



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Questions and Discussion

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